



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/267,489	03/11/99	WALKER	WD2-98-092

DEAN ALDERUCCI  
WALKER DIGITAL CORPORATION  
FIVE HIGH RIDGE PARK  
STAMFORD CT 06905-1326

0262/0331



(NOT ASSIGNED)

2756

DATE MAILED:

03/31/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of **\$65.00** for a small entity in compliance with 37 CFR 1.27, or **\$130.00** for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 913-

☒ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ 380- to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 351- for 39 total claims over 20.

\$ 117- for 3 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47:

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

04/27/1999 INTELENT 09267489 500271  
01 FC:201 380.00  
02 FC:202 117.00  
03 FC:203 351.00  
04 FC:205 65.00



Attorney Docket No.: WD2-98-092

*Sector*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. WALKER

For: SYSTEM AND METHOD FOR  
MAILING LIST TESTING DEVICE

Serial No.: 09/267,489

Filing Date: March 11, 1999

)  
)  
)  
)  
) Examiner: Not Assigned  
)

) Group Art Unit: 2756  
)

) Docket No.: WD2-98-092

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

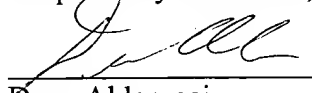
Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Copy of Notice to file Missing Parts;
2. Response to Notice to File Missing Parts;
3. Copy of Combined Declaration and Power of Attorney;
4. Copy of Verified Statement Claiming Small Entity; and
5. Postcard.

The Commissioner is hereby authorized to charge \$ 913.00 to Deposit Account No. 50-0271 for the fees required for the enclosed Response to Notice of Missing Parts. The Commissioner is further authorized to charge any further required fees, or to credit any overpayment, to Deposit Account No. 50-0271. A duplicate copy of this authorization is attached for such purpose.

Respectfully submitted,

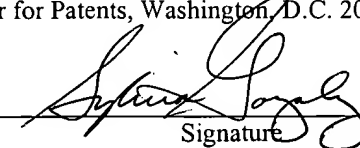
  
Dean Alderucci  
Attorney for Applicants  
PTO Reg. No. 40,484  
Walker Digital Corporation  
Five High Ridge Park  
Stamford, CT 06905

April 22, 1999  
Date

Certificate of Mailing

I hereby certify that this correspondence is being sent via first class mail in an envelope with sufficient postage addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 Attn: Box Missing Parts on April 22, 1999.

Sylvia Gonzalez  
Typed Name of Person Making  
Deposit

  
Signature

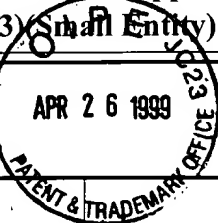
04/22/99  
Date

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
WD2-98-092

In Re Application Of: Jay S. WALKER et al.

APR 26 1999



Serial No.  
09/267,489

Filing Date  
March 11, 1999

Examiner  
Not Assigned

Group Art Unit  
2756

Invention: **SYSTEM AND METHOD FOR MAILING LIST TESTING SERVICE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
March 31, 1999  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ 1 verified small entity declaration(s)
  - ☐ is/are attached.
  - ☒ was/were filed on March 11, 1999
- ☐ A separate request for refund.
- ☐ Other (list):

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
**WD2-98-092**

In Re Application Of: **Jay S. WALKER et al.**

Serial No.  
09/267,489

Filing Date  
**March 11, 1999**

Examiner  
Not Assigned

Group Art Unit  
2756

Invention: **SYSTEM AND METHOD FOR MAILING LIST TESTING SERVICE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

**Attention: Manager, Application Branch**

☒ Completion of application fees as calculated below:

<input checked="" type="checkbox"/> Utility application filing fee	<b>\$380.00</b>
--	-----------------

☐ Design application filing fee

<input checked="" type="checkbox"/>	Total number of independent claims =	6	\$117.00
-------------------------------------	--------------------------------------	---	----------

<input checked="" type="checkbox"/> Total number of claims =	<b>59</b>	<b>\$351.00</b>
--	-----------	-----------------

#### ☐ Multiple dependent claims

<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	<b>\$65.00</b>
---	----------------

☐ Petition and fee for filing by other than all the inventors or a person not the invention

☐ Fee for processing an application filed with a non-English language specification☐ Fee for processing and retention of application

**Total completion of application fees \$913.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month      ☐ Two months      ☐ Three months      ☐ Four months      ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees

**Total fees due \$913.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
**WD2-98-092**

In Re Application Of: **Jay.S. WALKER et al.**

Serial No.  
**09/267,489**

Filing Date  
**March 11, 1999**

Examiner  
**Not Assigned**

Group Art Unit  
**2756**

Invention: **SYSTEM AND METHOD FOR MAILING LIST TESTING SERVICE**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Attention: Manager, Application Branch**

The fee of **\$913** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0271**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0271**  
A duplicate copy of this sheet is enclosed.

  
*Signature*

Dated:

**Dean Alderucci  
Attorney for Applicants  
PTO Reg. No. 40,484  
Walker Digital Corporation  
Five High Ridge Park  
Stamford, Connecticut**

I certify that this document and fee is being deposited on 4/22/99 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
*Signature of Person Mailing Correspondence*

**Sylvia Gonzalez**

*Typed or Printed Name of Person Mailing Correspondence*

CC: